

2019/20 Annual Audit Plan	Dept	Audit Days Budget 2019/20
KEY FINANCIAL SYSTEMS REVIEWS		
Business Rates	Resources	10
Banking	Resources	10
Community Care Payments	Health, Wellbeing & Adults	10
Council Tax	Resources	5
Creditors (inc P2P)	Resources	10
Debtors	Resources	10
Housing Benefits	Resources	10
Housing Rents & Accounting	Resources	5
Housing Repairs	Place	10
Main Accounting System	Resources	10
Parking Enforcement & Tickets	Place	10
Payments to Schools	Resources	5
Payroll	Resources	10
Pensions	Resources	10
Treasury Management	Resources	3
Follow-up of audits		10
Total Key Financials Audits		138
DEPARTMENTAL RISK AUDITS		
Age Assessment Judicial Reviews	Children, Families and Education	10
Alternative School Provisioning	Children, Families and Education	10
Forecasting and Financial Planning - Childrens and Adults *	Children, Families and Education	5
Partnership Governance	Children, Families and Education	10
Placements - Looked After Children	Children, Families and Education	15
S17 Expenditure	Children, Families and Education	15
Special Education Needs	Children, Families and Education	10
Financial Assessments - Charging Policy	Gateway, Strategy and Engagement	10
Gateway Budget and Impact on Other Services	Gateway, Strategy and Engagement	10

Letting Allocations	Gateway, Strategy and Engagement	10
North Croydon Gateway Locality Pilot	Gateway, Strategy and Engagement	10
Peoples ICT Project - Stockcheck of Progress	Gateway, Strategy and Engagement	10
Placements in Housing Private Accommodation	Gateway, Strategy and Engagement	10
Supply of Housing Private Accommodation	Gateway, Strategy and Engagement	10
Adult Social Care Waiting Lists	Health, Wellbeing & Adults	10
Brokerage and Placements	Health, Wellbeing & Adults	15
Care Market Failure	Health, Wellbeing & Adults	10
Forecasting and Financial Planning - Childrens and Adults *	Health, Wellbeing & Adults	5
One Croydon Alliance - Benefits and Integration	Health, Wellbeing & Adults	15
Public Health - Accounting for expenditure outside of the Public Health Division	Health, Wellbeing & Adults	10
S75 Agreements	Health, Wellbeing & Adults	10
Sheltered Accommodation	Health, Wellbeing & Adults	10
Transition from Childrens Services to Adults	Health, Wellbeing & Adults	10
Bringing Services in-House: Parks Service	Place	10
External Funding Team	Place	10
Fire Safety - Housing Stock	Place	10
Food Safety - Data Quality	Place	10
Growth Zone - Performance Management and Benefits Realisation	Place	15
Highways Contract Management	Place	10
Highways Inspections	Place	10
Housing Grounds Maintenance	Place	10
Parks Health & Safety	Place	10
SLWP / Veolia	Place	10
Tree Audit	Place	10
Agency Staff - Tenure and Monitoring	Resources	10
Arms Length Companies	Resources	10
Croydon Equipment Solutions	Resources	10
Debt Collection - In-house	Resources	10
Enforcement Agents	Resources	10

Expense and Overtime Payments to Staff	Resources	10
Fairfield Halls Delivery (BXB Management)	Resources	10
Freedom of Information Requests and Subject Access Requests	Resources	10
Investment Property Acquisitions	Resources	10
Land & Buildings - Asset Strategy	Resources	10
MTFS	Resources	10
MyResources - Business Change	Resources	10
Risk Management	Resources	10
Staff Code of Conduct	Resources	10
Staff Debt	Resources	10
Follow up of audits		50
Total Departmental Risk Register Audits		555
COMPUTER AUDITS		
IT Policies & Compliance with Technical Code of Practice & Computer Misuse Act	Resources	10
Uniform Application	Resources	10
Northgate iWorld Application	Resources	10
Azure Backup Application	Resources	10
Operating System Audits X 2	Resources	20
ITAL Governance	Resources	20
Follow up of audits		10
Total Computer Audits		90
CONTRACT AUDITS		
Major Capital Programme Commissioning & Management	Place	25
Mulally Contract Management	Place	10
Sprinklers Programme	Place	10
GBW Contract Management	Place	10
Regeneration Project Management	Place	10
GLL Leisure Contract Management	Place	10
Various Contract Audits	-	20
Follow-up of audits		10

Total Contract Audits		105
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SCHOOLS AUDITS		
Crosfield Nursery and Selhurst Early Years	Children, Families and Education	5.5
Coulsdon Nursery	Children, Families and Education	5.5
Beulah Juniors	Children, Families and Education	5.5
All Saints C of E Primary School	Children, Families and Education	5.5
Elmwood Infants School	Children, Families and Education	5.5
Heavers Farm School	Children, Families and Education	5.5
Kenley Primary	Children, Families and Education	5.5
Margaret Roper Catholic Primary	Children, Families and Education	5.5
Minster Nursery and Infant School	Children, Families and Education	5.5
Norbury Manor Primary School	Children, Families and Education	5.5
Selsdon Primary School	Children, Families and Education	5.5
St Joseph's Federation	Children, Families and Education	5.5
Winterbourne Nursery and Infants	Children, Families and Education	5.5
Virgo Fidelis Convent Senior School	Children, Families and Education	7.5
Follow-up of Schools audits		14.0
Total Schools Audits		93
CONTINGENCY		
Contingency for fraud including NFI and other ad hoc audits		27
Contingency for Grant Claims		14
Total Contingency		41
ADMIN AND MANAGEMENT		
Attendance at meetings, discussions, Audit Committee etc		25
Internal Audit Strategy and Plans Development		10
Year End Summary Report (Council-wide Head of Audit Report)		5
Total Admin and Management		40
GRAND TOTAL BUDGET		1062