2019/20 Annual Audit Plan	Dept	Audit Days Budget 2019/20
KEY FINANCIAL SYSTEMS REVIEWS		
Business Rates	Resources	10
Banking	Resources	10
Community Care Payments	Health, Wellbeing & Adults	10
Council Tax	Resources	5
Creditors (inc P2P)	Resources	10
Debtors	Resources	10
Housing Benefits	Resources	10
Housing Rents & Accounting	Resources	5
Housing Repairs	Place	10
Main Accounting System	Resources	10
Parking Enforcement & Tickets	Place	10
Payments to Schools	Resources	5
Payroll	Resources	10
Pensions	Resources	10
Treasury Management	Resources	3
Follow-up of audits		10
Total Key Financials Audits		138
DEPARTMENTAL RISK AUDITS		
Age Assessment Judicial Reviews	Children, Families and Education	10
Alternative School Provisioning	Children, Families and Education	10
Forecasting and Financial Planning - Childrens and Adults *	Children, Families and Education	5
Partnership Governance	Children, Families and Education	10
Placements - Looked After Children	Children, Families and Education	15
S17 Expenditure	Children, Families and Education	15
Special Education Needs	Children, Families and Education	10
Financial Assessments - Charging Policy	Gateway, Strategy and Engagement	10
Gateway Budget and Impact on Other Services	Gateway, Strategy and Engagement	10

1	Gateway, Strategy and	
Letting Allocations	Engagement	10
North Croydon Gateway Locality Pilot	Gateway, Strategy and Engagement	10
Peoples ICT Project - Stockcheck of Progress	Gateway, Strategy and Engagement	10
Placements in Housing Private Accommodation	Gateway, Strategy and Engagement	10
Supply of Housing Private Accommodation	Gateway, Strategy and Engagement	10
Adult Social Care Waiting Lists	Health, Wellbeing & Adults	10
Brokerage and Placements	Health, Wellbeing & Adults	15
Care Market Failure	Health, Wellbeing & Adults	10
Forecasting and Financial Planning - Childrens and Adults *	Health, Wellbeing & Adults	5
One Croydon Alliance - Benefits and Integration	Health, Wellbeing & Adults	15
Public Health - Accounting for expenditure outside of the Public Health Division	Health, Wellbeing & Adults	10
S75 Agreements	Health, Wellbeing & Adults	10
Sheltered Accommodation	Health, Wellbeing & Adults	10
Transition from Childrens Services to Adults	Health, Wellbeing & Adults	10
Bringing Services in-House: Parks Service	Place	10
External Funding Team	Place	10
Fire Safety - Housing Stock	Place	10
Food Safety - Data Quality	Place	10
Growth Zone - Performance Management and Benefits Realisation	Place	15
Highways Contract Management	Place	10
Highways Inspections	Place	10
Housing Grounds Maintenance	Place	10
Parks Health & Safety	Place	10
SLWP / Veolia	Place	10
Tree Audit	Place	10
Agency Staff - Tenure and Monitoring	Resources	10
Arms Length Companies	Resources	10
Croydon Equipment Solutions	Resources	10
Debt Collection - In-house	Resources	10
Enforcement Agents	Resources	10

Expense and Overtime Payments to Staff Resources 10 Fairfield Halls Delivery (BXB Management) Resources 10 Freedom of Information Requests and Subject Access Requests Resources 10 Investment Property Acquisitions Resources 10 Land & Buildings - Asset Strategy Resources 10 MTFS Resources 10 MyResources - Business Change Resources 10 Risk Management Resources 10 Staff Code of Conduct Resources 10 Staff Debt Resources 10 Follow up of audits 50 70 Total Departmental Risk Register Audits 85 COMPUTER AUDITS Resources 10 IT Policies & Compliance with Technical Code of Practice & Computer Misuse Act Resources 10 Uniform Application Resources 10 Northgate iWorld Application Resources 10 Azure Backup Application Resources 20 Total Computer Audits 9 22 Follow up of audits	I	1	I
Freedom of Information Requests and Subject Access Requests Investment Property Acquisitions Land & Buildings - Asset Strategy MTFS Resources 10 MyResources - Business Change Risk Management Resources 10 Staff Code of Conduct Staff Code of Conduct Staff Debt Resources 10 Total Departmental Risk Register Audits COMPUTER AUDITS IT Policies & Compilance with Technical Code of Practice & Computer Misuse Act Uniform Application Northgate iWorld Application Resources 10 Azure Backup Application Resources 10 Total Computer Audits 20 ITAL Governance Follow up of audits 10 Total Computer Audits 20 ITAL Governance Follow up of audits 10 CONTRACT AUDITS Major Capital Programme Commissioning & Management Place 10 GBW Contract Management Place 10 Regeneration Project Management Place 10 Resources 10 Resourc	Expense and Overtime Payments to Staff	Resources	10
Investment Property Acquisitions Land & Buildings - Asset Strategy MTFS Resources 10 MYResources - Business Change Risk Management Resources 10 Staff Code of Conduct Resources 10 Staff Debt Resources 10 Staff Debt Resources 10 Staff Debt Resources 10 Total Departmental Risk Register Audits COMPUTER AUDITS IT Policies & Compiliance with Technical Code of Practice & Computer Misuse Act Uniform Application Northgate iWorld Application Resources 10 Azure Backup Application Resources 10 Azure Backup Application Resources 10 Total Computer Audits 10 Poperating System Audits X 2 Resources 20 ITAL Governance Follow up of audits 10 Total Computer Audits 20 Resources 2	Fairfield Halls Delivery (BXB Management)	Resources	10
Land & Buildings - Asset Strategy Resources 10 MTFS Resources 10 MyResources - Business Change Resources 10 Risk Management Resources 10 Staff Code of Conduct Resources 10 Staff Debt Resources 10 Follow up of audits 50 Total Departmental Risk Register Audits 55 COMPUTER AUDITS IT Policies & Compliance with Technical Code of Practice & Computer Misuse Act Resources 10 Northgate iWorld Application Resources 10 Northgate iWorld Application Resources 10 Azure Backup Application Resources 10 Operating System Audits X 2 Resources 20 ITAL Governance Resources 20 Follow up of audits 10 Total Computer Audits 90 CONTRACT AUDITS Major Capital Programme Commissioning & Management Place 10 Sprinklers	Freedom of Information Requests and Subject Access Requests	Resources	10
MTFS MyResources - Business Change Resources 10 Risk Management Resources 10 Staff Code of Conduct Resources 10 Staff Code of Conduct Resources 10 Staff Debt Resources 10 Total Departmental Risk Register Audits 50 COMPUTER AUDITS IT Policies & Compilance with Technical Code of Practice & Computer Misuse Act Uniform Application Resources 10 Northgate iWorld Application Resources 10 Azure Backup Application Resources 10 Operating System Audits X 2 Resources 20 ITAL Governance Resources 20 Follow up of audits 10 Total Computer Audits 90 CONTRACT AUDITS Major Capital Programme Commissioning & Management Piace 10 Sprinklers Programme Piace 10 GBW Contract Management Piace 10 Regeneration Project Management Piace 10 GLL Leisure Contract Management Piace 10 GLL Leisure Contract Management Piace 10 GLL Leisure Contract Management Piace 10 Various Contract Audits - 20	Investment Property Acquisitions	Resources	10
MyResources - Business Change Risk Management Resources Risk Management Resources Reso	Land & Buildings - Asset Strategy	Resources	10
Risk Management Resources 10 Staff Code of Conduct Resources 10 Staff Code of Conduct Resources 10 Staff Debt Resources 10 Follow up of audits 50 Total Departmental Risk Register Audits 55 COMPUTER AUDITS IT Policies & Computer Misuse Act Resources 10 Uniform Application Resources 10 Northgate iWorld Application Resources 10 Azure Backup Application Resources 10 Operating System Audits X 2 Resources 20 ITAL Governance Resources 20 ITAL Governance Resources 20 Follow up of audits 10 Total Computer Audits 90 CONTRACT AUDITS Major Capital Programme Commissioning & Management Place 25 Mulally Contract Management Place 10 Sprinklers Programme Figure 10 GBW Contract Management Place 10 Regeneration Project Management Place 10 GLL Leisure Contract Management Place 10 GLL Leisure Contract Management Place 10 Various Contract Audits - 20	MTFS	Resources	10
Staff Code of Conduct Staff Debt Resources 10 Pollow up of audits 50 Total Departmental Risk Register Audits COMPUTER AUDITS IT Policies & Compliance with Technical Code of Practice & Computer Misuse Act Uniform Application Resources 10 Northgate IWorld Application Resources 10 Azure Backup Application Resources 10 Azure Backup Application Resources 10 Operating System Audits X 2 Resources 20 ITAL Governance Resources 20 Follow up of audits Total Computer Audits CONTRACT AUDITS Major Capital Programme Commissioning & Management Piace 10 Sprinklers Programme Piace 10 GBW Contract Management Regeneration Project Management Piace 10 GLL Leisure Contract Management Piace 10 Cyrious Contract Management Piace 10 CLL Leisure Contract Management Piace 10 Cyrious Contract Audits Piace 10 Cyrious Contract Audits	MyResources - Business Change	Resources	10
Staff Debt Follow up of audits Total Departmental Risk Register Audits COMPUTER AUDITS IT Policies & Compliance with Technical Code of Practice & Computer Misuse Act Uniform Application Northgate iWorld Application Resources 10 Azure Backup Application Resources 10 Operating System Audits X 2 Resources 20 ITAL Governance Resources 20 Follow up of audits Total Computer Audits CONTRACT AUDITS Major Capital Programme Commissioning & Management Place 10 Sprinklers Programme GBW Contract Management Regeneration Project Management Place 10 GLL Leisure Contract Management Place 10 GLL Leisure Contract Audits Possible Audits Place 10 Contract Contract Management Place 10 CHIL Leisure Contract Management Place 10 CHIL Leisure Contract Audits Place 10 Cyrious Contract Audits Place 10 Cyrious Contract Audits	Risk Management	Resources	10
Follow up of audits Total Departmental Risk Register Audits COMPUTER AUDITS IT Policies & Compliance with Technical Code of Practice & Computer Misuse Act Uniform Application Resources 10 Northgate iWorld Application Resources 10 Azure Backup Application Resources 10 Operating System Audits X 2 Resources 20 ITAL Governance 20 ITAL Governance Resources 20 ITAL Governance Resources 20 ITAL	Staff Code of Conduct	Resources	10
Total Departmental Risk Register Audits COMPUTER AUDITS IT Policies & Compliance with Technical Code of Practice & Computer Misuse Act Uniform Application Northgate iWorld Application Azure Backup Application Resources 10 Azure Backup Application Resources 10 Operating System Audits X 2 Resources 20 ITAL Governance Resources 20 Follow up of audits CONTRACT AUDITS Major Capital Programme Commissioning & Management Major Capital Programme Place 10 Sprinklers Programme GBW Contract Management Place 10 Regeneration Project Management Place 10 GLL Leisure Contract Management Place 10 Various Contract Audits - 20	Staff Debt	Resources	10
T Policies & Compliance with Technical Code of Practice & Computer Misuse Act Uniform Application Northgate iWorld Application Azure Backup Application Operating System Audits X 2 ITAL Governance Follow up of audits Total Computer Audits CONTRACT AUDITS Major Capital Programme Commissioning & Management Mulally Contract Management GBW Contract Management Place 10 Resources 10 Resources 20 Resources 20 Follow up of audits 10 Total Computer Audits Place 10 Sprinklers Programme Place 10 GBW Contract Management Place 10 GBW Contract Management Place 10 GLL Leisure Contract Management Place 10 Various Contract Audits - 20	Follow up of audits		50
IT Policies & Compliance with Technical Code of Practice & Computer Misuse Act Uniform Application Northgate iWorld Application Azure Backup Application Operating System Audits X 2 ITAL Governance Follow up of audits CONTRACT AUDITS Major Capital Programme Commissioning & Management Place Uniform Application Resources 10 Resources 10 Resources 20 ITAL Governance Resources 20 Follow up of audits Total Computer Audits CONTRACT AUDITS Major Capital Programme Commissioning & Management Place 10 Sprinklers Programme Place 10 GBW Contract Management Place 10 Regeneration Project Management Place 10 GLL Leisure Contract Management Place 10 Various Contract Audits - 20	Total Departmental Risk Register Audits		555
Uniform Application Resources 10 Northgate iWorld Application Resources 10 Azure Backup Application Resources 10 Operating System Audits X 2 Resources 20 ITAL Governance Resources 20 Follow up of audits 10 Total Computer Audits 90 CONTRACT AUDITS Major Capital Programme Commissioning & Management Place 10 Sprinklers Programme Place 10 GBW Contract Management Place 10 Regeneration Project Management Place 10 GLL Leisure Contract Management Place 10 Various Contract Audits - 20	COMPUTER AUDITS		
Northgate iWorld Application Resources 10 Azure Backup Application Resources 10 Operating System Audits X 2 Resources 20 ITAL Governance Resources 20 Follow up of audits 10 Total Computer Audits 90 CONTRACT AUDITS Major Capital Programme Commissioning & Management Place 10 Sprinklers Programme Place 10 GBW Contract Management Place 10 Regeneration Project Management Place 10 GLL Leisure Contract Management Place 10 Various Contract Audits - 20	IT Policies & Compliance with Technical Code of Practice & Computer Misuse Act	Resources	10
Azure Backup Application Resources 10 Operating System Audits X 2 Resources 20 ITAL Governance Resources 20 Follow up of audits 10 Total Computer Audits 90 CONTRACT AUDITS Major Capital Programme Commissioning & Management Place 10 Sprinklers Programme Place 10 GBW Contract Management Place 10 GBW Contract Management Place 10 GBW Contract Management Place 10 GLL Leisure Contract Management Place 10 Various Contract Audits - 20	Uniform Application	Resources	10
Operating System Audits X 2 ITAL Governance Resources 20 Follow up of audits 10 Total Computer Audits 90 CONTRACT AUDITS Major Capital Programme Commissioning & Management Place 25 Mulally Contract Management Place 10 Sprinklers Programme Place 10 GBW Contract Management Place 10 Regeneration Project Management Place 10 GLL Leisure Contract Management Place 10 Various Contract Audits - 20	Northgate iWorld Application	Resources	10
ITAL Governance Resources 20 Follow up of audits 10 Total Computer Audits 90 CONTRACT AUDITS Major Capital Programme Commissioning & Management Place 25 Mulally Contract Management Place 10 Sprinklers Programme Place 10 GBW Contract Management Place 10 Regeneration Project Management Place 10 GLL Leisure Contract Management Place 10 Various Contract Audits - 20	Azure Backup Application	Resources	10
Follow up of audits 90 Total Computer Audits 90 CONTRACT AUDITS Major Capital Programme Commissioning & Management Place 25 Mulally Contract Management Place 10 Sprinklers Programme Place 10 GBW Contract Management Place 10 Regeneration Project Management Place 10 GLL Leisure Contract Management Place 10 Various Contract Audits - 20	Operating System Audits X 2	Resources	20
Total Computer Audits CONTRACT AUDITS Major Capital Programme Commissioning & Management Major Capital Programme Commissioning & Management Place 10 Sprinklers Programme Place 10 GBW Contract Management Place 10 Regeneration Project Management Place 10 CLL Leisure Contract Management Place 10 Various Contract Audits - 20	ITAL Governance	Resources	20
Major Capital Programme Commissioning & Management Place 25 Mulally Contract Management Place 10 Sprinklers Programme Place 10 GBW Contract Management Place 10 Regeneration Project Management Place 10 GLL Leisure Contract Management Place 10 Various Contract Audits	Follow up of audits		10
Major Capital Programme Commissioning & Management Place 10 Sprinklers Programme Place 10 GBW Contract Management Place 10 Regeneration Project Management Place 10 GLL Leisure Contract Management Place 10 Various Contract Audits - 20	Total Computer Audits		90
Mulally Contract Management Place 10 Sprinklers Programme Place 10 GBW Contract Management Place 10 Regeneration Project Management Place 10 GLL Leisure Contract Management Place 10 Various Contract Audits - 20	CONTRACT AUDITS		
Sprinklers Programme Place 10 GBW Contract Management Place 10 Regeneration Project Management Place 10 GLL Leisure Contract Management Place 10 Various Contract Audits - 20	Major Capital Programme Commissioning & Management	Place	25
GBW Contract Management Place 10 Regeneration Project Management Place 10 GLL Leisure Contract Management Place 10 Various Contract Audits - 20	Mulally Contract Management	Place	10
Regeneration Project Management Place 10 GLL Leisure Contract Management Place 10 Various Contract Audits - 20	Sprinklers Programme	Place	10
GLL Leisure Contract Management Place 10 Various Contract Audits - 20	GBW Contract Management	Place	10
Various Contract Audits - 20	Regeneration Project Management	Place	10
	GLL Leisure Contract Management	Place	10
Follow-up of audits 10	Various Contract Audits	-	20
	Follow-up of audits		10

Total Contract Audits	105

SCHOOLS AUDITS		
Crosfield Nursery and Selhurst Early Years	Children, Families and Education	5.5
Coulsdon Nursery	Children, Families and Education	5.5
Beulah Juniors	Children, Families and Education	5.5
All Saints C of E Primary School	Children, Families and Education	5.5
Elmwood Infants School	Children, Families and Education	5.5
Heavers Farm School	Children, Families and Education	5.5
Kenley Primary	Children, Families and Education	5.5
Margaret Roper Catholic Primary	Children, Families and Education	5.5
Minster Nursery and Infant School	Children, Families and Education	5.5
Norbury Manor Primary School	Children, Families and Education	5.5
Selsdon Primary School	Children, Families and Education	5.5
St Joseph's Federation	Children, Families and Education	5.5
Winterbourne Nursery and Infants	Children, Families and Education	5.5
Virgo Fidelis Convent Senior School	Children, Families and Education	7.5
Follow-up of Schools audits		14.0
Total Schools Audits		93
CONTINGENCY		
Contingency for fraud including NFI and other ad hoc audits		27
Contingency for Grant Claims		14
Total Contingency		41
ADMIN AND MANAGEMENT		
Attendance at meetings, discussions, Audit Committee etc		25
Internal Audit Strategy and Plans Development		10
Year End Summary Report (Council-wide Head of Audit Report)		5
Total Admin and Management		40
GRAND TOTAL BUDGET		1062